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6 UNITED STATES DISTRICT COURT  
7 NORTHERN DISTRICT OF CALIFORNIA  
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9 Thomas Carnes, by and through his  
10 Guardian ad Litem, Juliana Christine  
11 Clegg, on his own behalf and on behalf  
12 of others similarly situated,

Plaintiff,

v.

13 Atria Senior Living, Inc. and Does 1  
14 through 100,

Defendant.

Case No. 3:14-cv-02727-VC

**STIPULATED PROTECTIVE ORDER  
FOR STANDARD LITIGATION**

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18 **1. PURPOSES AND LIMITATIONS**

19 Disclosure and discovery activity in this action are likely to involve  
20 production of confidential, proprietary, or private information for which special  
21 protection from public disclosure and from use for any purpose other than  
22 prosecuting this litigation may be warranted. Accordingly, the parties hereby  
23 stipulate to and petition the court to enter the following Stipulated Protective Order.  
24 The parties acknowledge that this Order does not confer blanket protections on all  
25 disclosures or responses to discovery and that the protection it affords from public  
26 disclosure and use extends only to the limited information or items that are entitled  
27 to confidential treatment under the applicable legal principles. The parties further  
28 acknowledge, as set forth in Section 12.3, below, that this Stipulated Protective

1 Order does not entitle them to file confidential information under seal; Civil Local  
2 Rule 79-5 sets forth the procedures that must be followed and the standards that will  
3 be applied when a party seeks permission from the court to file material under seal.

4       2.     **DEFINITIONS**

5             2.1    Challenging Party: a Party or Non-Party that challenges the  
6 designation of information or items under this Order.

7             2.2    “CONFIDENTIAL” Information or Items: information  
8 (regardless of how it is generated, stored or maintained) or tangible things that  
9 qualify for protection under Federal Rule of Civil Procedure 26(c).

10            2.3    Counsel (without qualifier): Outside Counsel of Record and  
11 House Counsel (as well as their support staff).

12            2.4    Designating Party: a Party or Non-Party that designates  
13 information or items that it produces in disclosures or in responses to discovery as  
14 “CONFIDENTIAL.”

15            2.5    Disclosure or Discovery Material: all items or information,  
16 regardless of the medium or manner in which it is generated, stored, or maintained  
17 (including, among other things, testimony, transcripts, and tangible things), that are  
18 produced or generated in disclosures or responses to discovery in this matter.

19            2.6    Expert: a person with specialized knowledge or experience in a  
20 matter pertinent to the litigation who has been retained by a Party or its counsel to  
21 serve as an expert witness or as a consultant in this action.

22            2.7    House Counsel: attorneys who are employees of a party to this  
23 action. House Counsel does not include Outside Counsel of Record or any other  
24 outside counsel.

25            2.8    Non-Party: any natural person, partnership, corporation,  
26 association, or other legal entity not named as a Party to this action.

27            2.9    Outside Counsel of Record: attorneys who are not employees of  
28 a party to this action but are retained to represent or advise a party to this action and



1 have appeared in this action on behalf of that party or are affiliated with a law firm  
2 which has appeared on behalf of that party.

3           2.10 Party: any party to this action, including all of its officers,  
4 directors, employees, consultants, retained experts, and Outside Counsel of Record  
5 (and their support staffs).

6           2.11 Producing Party: a Party or Non-Party that produces Disclosure  
7 or Discovery Material in this action.

8           2.12 Professional Vendors: persons or entities that provide litigation  
9 support services (e.g., photocopying, videotaping, translating, preparing exhibits or  
10 demonstrations, and organizing, storing, or retrieving data in any form or medium)  
11 and their employees and subcontractors.

12           2.13 Protected Material: any Disclosure or Discovery Material that is  
13 designated as "CONFIDENTIAL."

14           2.14 Receiving Party: a Party that receives Disclosure or Discovery  
15 Material from a Producing Party.

16           3.     **SCOPE**

17           The protections conferred by this Stipulation and Order cover not only  
18 Protected Material (as defined above), but also (1) any information copied or  
19 extracted from Protected Material; (2) all copies, excerpts, summaries, or  
20 compilations of Protected Material; and (3) any testimony, conversations, or  
21 presentations by Parties or their Counsel that might reveal Protected Material.  
22 However, the protections conferred by this Stipulation and Order do not cover the  
23 following information: (a) any information that is in the public domain at the time of  
24 disclosure to a Receiving Party or becomes part of the public domain after its  
25 disclosure to a Receiving Party as a result of publication not involving a violation of  
26 this Order, including becoming part of the public record through trial or otherwise;  
27 and (b) any information known to the Receiving Party prior to the disclosure or  
28 obtained by the Receiving Party after the disclosure from a source who obtained the

1 information lawfully and under no obligation of confidentiality to the Designating  
2 Party. Any use of Protected Material at trial shall be governed by a separate  
3 agreement or order.

4       **4.     DURATION**

5       Even after final disposition of this litigation, the confidentiality obligations  
6 imposed by this Order shall remain in effect until a Designating Party agrees  
7 otherwise in writing or a court order otherwise directs. Final disposition shall be  
8 deemed to be the later of (1) dismissal of all claims and defenses in this action, with  
9 or without prejudice; and (2) final judgment herein after the completion and  
10 exhaustion of all appeals, rehearings, remands, trials, or reviews of this action,  
11 including the time limits for filing any motions or applications for extension of time  
12 pursuant to applicable law.

13       **5.     DESIGNATING PROTECTED MATERIAL**

14             5.1   Exercise of Restraint and Care in Designating Material for  
15 Protection. Each Party or Non-Party that designates information or items for  
16 protection under this Order must take care to limit any such designation to specific  
17 material that qualifies under the appropriate standards. The Designating Party must  
18 designate for protection only those parts of material, documents, items, or oral or  
19 written communications that qualify – so that other portions of the material,  
20 documents, items, or communications for which protection is not warranted are not  
21 swept unjustifiably within the ambit of this Order.

22       Mass, indiscriminate, or routinized designations are prohibited. Designations  
23 that are shown to be clearly unjustified or that have been made for an improper  
24 purpose (e.g., to unnecessarily encumber or retard the case development process or  
25 to impose unnecessary expenses and burdens on other parties) expose the  
26 Designating Party to sanctions. If it comes to a Designating Party's attention that  
27 information or items that it designated for protection do not qualify for protection,  
28 that Designating Party must promptly notify all other Parties that it is withdrawing



1 the mistaken designation.

2           5.2 Manner and Timing of Designations. Except as otherwise  
3 provided in this Order (see, e.g., second paragraph of section 5.2(a) below), or as  
4 otherwise stipulated or ordered, Disclosure or Discovery Material that qualifies for  
5 protection under this Order must be clearly so designated before the material is  
6 disclosed or produced.

7 Designation in conformity with this Order requires:

8       (a) for information in documentary form (e.g., paper or electronic  
9 documents, but excluding transcripts of depositions or other pretrial or trial  
10 proceedings), that the Producing Party affix the legend "CONFIDENTIAL" to each  
11 page that contains protected material. If only a portion or portions of the material on  
12 a page qualifies for protection, the Producing Party also must clearly identify the  
13 protected portion(s) (e.g., by making appropriate markings in the margins). A Party  
14 or Non-Party that makes original documents or materials available for inspection  
15 need not designate them for protection until after the inspecting Party has indicated  
16 which material it would like copied and produced. During the inspection and before  
17 the designation, all of the material made available for inspection shall be deemed  
18 "CONFIDENTIAL." After the inspecting Party has identified the documents it  
19 wants copied and produced, the Producing Party must determine which documents,  
20 or portions thereof, qualify for protection under this Order. Then, before producing  
21 the specified documents, the Producing Party must affix the "CONFIDENTIAL"  
22 legend to each page that contains Protected Material. If only a portion or portions of  
23 the material on a page qualifies for protection, the Producing Party also must clearly  
24 identify the protected portion(s) (e.g., by making appropriate markings in the  
25 margins).

26       (b) for testimony given in deposition or in other pretrial or trial  
27 proceedings, that the Designating Party identify on the record, before the close of  
28 the deposition, hearing, or other proceeding, all protected testimony.

1 (c) for information produced in some form other than documentary and for  
2 any other tangible items, that the Producing Party affix in a prominent place on the  
3 exterior of the container or containers in which the information or item is stored the  
4 legend "CONFIDENTIAL." If only a portion or portions of the information or item  
5 warrant protection, the Producing Party, to the extent practicable, shall identify the  
6 protected portion(s).

7 5.3 Inadvertent Failures to Designate. If timely corrected, an  
8 inadvertent failure to designate qualified information or items does not, standing  
9 alone, waive the Designating Party's right to secure protection under this Order for  
10 such material. Upon timely correction of a designation, the Receiving Party must  
11 make reasonable efforts to assure that the material is treated in accordance with the  
12 provisions of this Order.

13 6. **CHALLENGING CONFIDENTIALITY DESIGNATIONS**

14 6.1 Timing of Challenges. Any Party or Non-Party may challenge a  
15 designation of confidentiality at any time. Unless a prompt challenge to a  
16 Designating Party's confidentiality designation is necessary to avoid foreseeable,  
17 substantial unfairness, unnecessary economic burdens, or a significant disruption or  
18 delay of the litigation, a Party does not waive its right to challenge a confidentiality  
19 designation by electing not to mount a challenge promptly after the original  
20 designation is disclosed.

21 6.2 Meet and Confer. The Challenging Party shall initiate the  
22 dispute resolution process by providing written notice of each designation it is  
23 challenging and describing the basis for each challenge. To avoid ambiguity as to  
24 whether a challenge has been made, the written notice must recite that the challenge  
25 to confidentiality is being made in accordance with this specific paragraph of the  
26 Protective Order. The parties shall attempt to resolve each challenge in good faith  
27 and must begin the process by conferring directly (in voice to voice dialogue; other  
28 forms of communication are not sufficient) within 14 days of the date of service of



1 notice. In conferring, the Challenging Party must explain the basis for its belief that  
2 the confidentiality designation was not proper and must give the Designating Party  
3 an opportunity to review the designated material, to reconsider the circumstances,  
4 and, if no change in designation is offered, to explain the basis for the chosen  
5 designation. A Challenging Party may proceed to the next stage of the challenge  
6 process only if it has engaged in this meet and confer process first or establishes that  
7 the Designating Party is unwilling to participate in the meet and confer process in a  
8 timely manner.

9           6.3 Judicial Intervention. If the Parties cannot resolve a challenge  
10 without court intervention, the Designating Party shall file and serve a motion to  
11 retain confidentiality under Civil Local Rule 7 (and in compliance with Civil Local  
12 Rule 79-5, if applicable) within 21 days of the initial notice of challenge or within  
13 14 days of the parties agreeing that the meet and confer process will not resolve  
14 their dispute, whichever is earlier. Each such motion must be accompanied by a  
15 competent declaration affirming that the movant has complied with the meet and  
16 confer requirements imposed in the preceding paragraph. Failure by the Designating  
17 Party to make such a motion including the required declaration within 21 days (or  
18 14 days, if applicable) shall automatically waive the confidentiality designation for  
19 each challenged designation. In addition, the Challenging Party may file a motion  
20 challenging a confidentiality designation at any time if there is good cause for doing  
21 so, including a challenge to the designation of a deposition transcript or any portions  
22 thereof. Any motion brought pursuant to this provision must be accompanied by a  
23 competent declaration affirming that the movant has complied with the meet and  
24 confer requirements imposed by the preceding paragraph.

25           The burden of persuasion in any such challenge proceeding shall be on the  
26 Designating Party. Frivolous challenges, and those made for an improper purpose  
27 (e.g., to harass or impose unnecessary expenses and burdens on other parties) may  
28 expose the Challenging Party to sanctions. Unless the Designating Party has waived

1 the confidentiality designation by failing to file a motion to retain confidentiality as  
2 described above, all parties shall continue to afford the material in question the level  
3 of protection to which it is entitled under the Producing Party's designation until the  
4 court rules on the challenge.

5       7.     **ACCESS TO AND USE OF PROTECTED MATERIAL**

6             7.1    Basic Principles. A Receiving Party may use Protected Material  
7 that is disclosed or produced by another Party or by a Non-Party in connection with  
8 this case only for prosecuting, defending, or attempting to settle this litigation. Such  
9 Protected Material may be disclosed only to the categories of persons and under the  
10 conditions described in this Order. When the litigation has been terminated, a  
11 Receiving Party must comply with the provisions of section 13 below (FINAL  
12 DISPOSITION).

13            Protected Material must be stored and maintained by a Receiving Party at a  
14 location and in a secure manner that ensures that access is limited to the persons  
15 authorized under this Order.

16            7.2    Disclosure of "CONFIDENTIAL" Information or Items. Unless  
17 otherwise ordered by the court or permitted in writing by the Designating Party, a  
18 Receiving Party may disclose any information or item designated  
19 "CONFIDENTIAL" only to:

20            (a)    the Receiving Party's Outside Counsel of Record in this action, as well  
21 as employees of said Outside Counsel of Record to whom it is reasonably necessary  
22 to disclose the information for this litigation and who have signed the  
23 "Acknowledgment and Agreement to Be Bound" that is attached hereto as Exhibit  
24 A;

25            (b)    the officers, directors, and employees (including House Counsel) of the  
26 Receiving Party to whom disclosure is reasonably necessary for this litigation and  
27 who have signed the "Acknowledgment and Agreement to Be Bound" (Exhibit A);

28            (c)    Experts (as defined in this Order) of the Receiving Party to whom



disclosure is reasonably necessary for this litigation and who have signed the  
 “Acknowledgment and Agreement to Be Bound” (Exhibit A);

(d) the court and its personnel;

(e) court reporters and their staff, professional jury or trial consultants,  
 mock jurors, and Professional Vendors to whom disclosure is reasonably necessary  
 for this litigation and who have signed the “Acknowledgment and Agreement to Be  
 Bound” (Exhibit A);

(f) during their depositions, witnesses in the action to whom disclosure is  
 reasonably necessary and who have signed the “Acknowledgment and Agreement to  
 Be Bound” (Exhibit A), unless otherwise agreed by the Designating Party or ordered  
 by the court. Pages of transcribed deposition testimony or exhibits to depositions  
 that reveal Protected Material must be separately bound by the court reporter and  
 may not be disclosed to anyone except as permitted under this Stipulated Protective  
 Order.

(g) the author or recipient of a document containing the information or a  
 custodian or other person who otherwise possessed or knew the information.

**8. PROTECTED MATERIAL SUBPOENAED OR ORDERED  
 PRODUCED IN OTHER LITIGATION**

If a Party is served with a subpoena or a court order issued in other litigation  
 that compels disclosure of any information or items designated in this action as  
 “CONFIDENTIAL,” that Party must:

(a) promptly notify in writing the Designating Party. Such notification  
 shall include a copy of the subpoena or court order;

(b) promptly notify in writing the party who caused the subpoena or order  
 to issue in the other litigation that some or all of the material covered by the  
 subpoena or order is subject to this Protective Order. Such notification shall include  
 a copy of this Stipulated Protective Order; and

(c) cooperate with respect to all reasonable procedures sought to be

1 pursued by the Designating Party whose Protected Material may be affected.

2 If the Designating Party timely seeks a protective order, the Party served with  
3 the subpoena or court order shall not produce any information designated in this  
4 action as "CONFIDENTIAL" before a determination by the court from which the  
5 subpoena or order issued, unless the Party has obtained the Designating Party's  
6 permission. The Designating Party shall bear the burden and expense of seeking  
7 protection in that court of its confidential material – and nothing in these provisions  
8 should be construed as authorizing or encouraging a Receiving Party in this action  
9 to disobey a lawful directive from another court.

10 9. **A NON-PARTY'S PROTECTED MATERIAL SOUGHT TO BE**  
11 **PRODUCED IN THIS LITIGATION**

12 (a) The terms of this Order are applicable to information produced by a  
13 Non-Party in this action and designated as "CONFIDENTIAL." Such information  
14 produced by Non-Parties in connection with this litigation is protected by the  
15 remedies and relief provided by this Order. Nothing in these provisions should be  
16 construed as prohibiting a Non-Party from seeking additional protections.

17 (b) In the event that a Party is required, by a valid discovery request, to  
18 produce a Non-Party's confidential information in its possession, and the Party is  
19 subject to an agreement with the Non-Party not to produce the Non-Party's  
20 confidential information, then the Party shall:

21 (1) promptly notify in writing the Requesting Party and the Non-Party that  
22 some or all of the information requested is subject to a confidentiality agreement  
23 with a Non-Party;

24 (2) promptly provide the Non-Party with a copy of the Stipulated  
25 Protective Order in this litigation, the relevant discovery request(s), and a  
26 reasonably specific description of the information requested; and

27 (3) make the information requested available for inspection by the Non-  
28 Party.



(c) If the Non-Party fails to object or seek a protective order from this court within 14 days of receiving the notice and accompanying information, the Receiving Party may produce the Non-Party's confidential information responsive to the discovery request. If the Non-Party timely seeks a protective order, the Receiving Party shall not produce any information in its possession or control that is subject to the confidentiality agreement with the Non-Party before a determination by the court. Absent a court order to the contrary, the Non-Party shall bear the burden and expense of seeking protection in this court of its Protected Material.

(d) Paragraph 9 of this Order does not apply to any request for contact information or resident files pursuant to *Pioneer Electronics v. Superior Court*, 40 Cal.4th 360 (Cal. 2007). Such a request will be governed either by an agreement of the parties or by a separate order of the Court specifying any applicable protections and notifications.

#### **10. UNAUTHORIZED DISCLOSURE OF PROTECTED MATERIAL**

If a Receiving Party learns that, by inadvertence or otherwise, it has disclosed Protected Material to any person or in any circumstance not authorized under this Stipulated Protective Order, the Receiving Party must immediately (a) notify in writing the Designating Party of the unauthorized disclosures, (b) use its best efforts to retrieve all unauthorized copies of the Protected Material, (c) inform the person or persons to whom unauthorized disclosures were made of all the terms of this Order, and (d) request such person or persons to execute the "Acknowledgment and Agreement to Be Bound" that is attached hereto as Exhibit A.

#### **11. INADVERTENT PRODUCTION OF PRIVILEGED OR OTHERWISE PROTECTED MATERIAL**

When a Producing Party gives notice to any Receiving Party that certain inadvertently produced materials are subject to a claim of privilege or other protection, the Receiving Party shall return the materials upon request from the

1 Producing Party within five (5) business days, regardless of whether the Receiving  
2 Party agrees with the claim of privilege and/or work-product protection.

3 11.1 The parties further agree that the inadvertent disclosure or  
4 production of any information or document that is subject to an objection on the  
5 basis of attorney-client privilege, work-product, and/or privacy protection will not  
6 be deemed to waive a party's claim to its privileged or protected nature or estop that  
7 party or the privilege holder from designating the information or document as  
8 privileged at a later date.

9 11.2 This provision is not intended to modify whatever procedure  
10 may be established in an e-discovery order that provides for production without  
11 prior privilege review. Pursuant to Federal Rule of Evidence 502(d) and (e), insofar  
12 as the parties reach an agreement on the effect of disclosure of a communication or  
13 information covered by the attorney-client privilege or work product protection, the  
14 parties may incorporate their agreement in the stipulated protective order submitted  
15 to the court.

16 12. MISCELLANEOUS

17 12.1 Right to Further Relief. Nothing in this Order abridges the right  
18 of any person to seek its modification by the court in the future.

19 12.2 Right to Assert Other Objections. By stipulating to the entry of  
20 this Protective Order no Party waives any right it otherwise would have to object to  
21 disclosing or producing any information or item on any ground not addressed in this  
22 Stipulated Protective Order. Similarly, no Party waives any right to object on any  
23 ground to use in evidence of any of the material covered by this Protective Order.

24 12.3 Filing Protected Material. Without written permission from the  
25 Designating Party or a court order secured after appropriate notice to all interested  
26 persons, a Party may not file in the public record in this action any Protected  
27 Material. A Party that seeks to file under seal any Protected Material must comply  
28 with Civil Local Rule 79-5. Protected Material may only be filed under seal



1 pursuant to a court order authorizing the sealing of the specific Protected Material at  
2 issue. Pursuant to Civil Local Rule 79-5, a sealing order will issue only upon a  
3 request establishing that the Protected Material at issue is privileged, protectable as  
4 a trade secret, or otherwise entitled to protection under the law. If a Receiving  
5 Party's request to file Protected Material under seal pursuant to Civil Local Rule 79-  
6 5(d) is denied by the court, then the Receiving Party may file the information in the  
7 public record pursuant to Civil Local Rule 79-5(e) unless otherwise instructed by the  
8 court.

9 **13. FINAL DISPOSITION**

10 Following the final disposition of this action, as defined in paragraph 4, each  
11 Receiving Party must return all Protected Material to the Producing Party or destroy  
12 such material, upon receiving a request from the Producing Party. As used in this  
13 subdivision, "all Protected Material" includes all copies, abstracts, compilations,  
14 summaries, and any other format reproducing or capturing any of the Protected  
15 Material. Whether the Protected Material is returned or destroyed, the Receiving  
16 Party must submit a written certification to the Producing Party (and, if not the same  
17 person or entity, to the Designating Party) within 60 days of receiving the request  
18 for return or destruction of Protected Material from the Producing Party that (1)  
19 identifies (by category, where appropriate) all the Protected Material that was  
20 returned or destroyed and (2) affirms that the Receiving Party has not retained any  
21 copies, abstracts, compilations, summaries or any other format reproducing or  
22 capturing any of the Protected Material. Notwithstanding this provision, Counsel are  
23 entitled to retain an archival copy of all pleadings, motion papers, trial, deposition,  
24 and hearing transcripts, legal memoranda, correspondence, deposition and trial  
25 exhibits, expert reports, attorney work product, and consultant and expert work  
26 product, even if such materials contain Protected Material. Any such archival copies

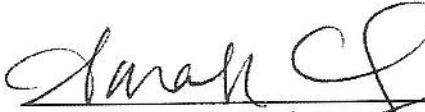
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1 that contain or constitute Protected Material remain subject to this Protective Order  
2 as set forth in Section 4 (DURATION).

3 IT IS SO STIPULATED, THROUGH COUNSEL OF RECORD.

4  
5 DATED: 3/19/15


  
Kathryn A. Stebner  
Sarah Colby  
Attorneys for Plaintiff

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7  
8  
9 DATED: 3/19/15

  
Jeffrey S. Ranen  
Katherine DenBleyker  
Attorneys for Defendant

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12  
13 PURSUANT TO STIPULATION, IT IS SO ORDERED.

14  
15 DATED: March 20, 2015

  
United States District ~~Magistrate~~ Judge



**EXHIBIT A****ACKNOWLEDGMENT AND AGREEMENT TO BE BOUND**

I, \_\_\_\_\_ [print or type full name], of  
 \_\_\_\_\_ [print or type full address], declare  
 under penalty of perjury that I have read in its entirety and understand the Stipulated  
 Protective Order that was issued by the United States District Court for the Northern  
 District of California on \_\_\_\_\_ [date of Stipulated Protective  
 Order], in the case of *Thomas Carnes v. Atria Senior Living, Inc.*, Case No. 3:14-  
 cv-02727-VC. I agree to comply with and to be bound by all the terms of this  
 Stipulated Protective Order and I understand and acknowledge that failure to so  
 comply could expose me to sanctions and punishment in the nature of contempt. I  
 solemnly promise that I will not disclose in any manner any information or item that  
 is subject to this Stipulated Protective Order to any person or entity except in strict  
 compliance with the provisions of this Order.

I further agree to submit to the jurisdiction of the United States District Court  
 for the Northern District of California for the purpose of enforcing the terms of this  
 Stipulated Protective Order, even if such enforcement proceedings occur after  
 termination of this action.

I hereby appoint \_\_\_\_\_ [print or type full name] of  
 \_\_\_\_\_ [print or type full address  
 and telephone number] as my California agent for service of process in connection

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1 with this action or any proceedings related to enforcement of this Stipulated  
2 Protective Order.

3 Date: \_\_\_\_\_

4 City and State where sworn and signed: \_\_\_\_\_

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7 Printed name: \_\_\_\_\_

8

9 Signature: \_\_\_\_\_

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